

12-05-01

**GRAYCARY.** TECHNOLOGY'S LEGAL EDGE<sup>SM</sup> A  
Seq. listing ✓

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ATTORNEY DOCKET NO.: UCSD1360-1

EXPRESS MAIL Number: EV 016 237 061 US

Date of Deposit: October 31, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to BOX PATENT APPLICATION, Commissioner of Patents and Trademarks; Washington, DC 20231.

ALDON GRIFFIS

(Name of Individual Depositing with P.O.)

*Aldon Griffis*

October 31, 2001

(Signature of Individual Depositing with P.O.)

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10/31/01  


ATTN: BOX PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
Washington, DC 20231

Sir:

Transmitted herewith for filing is the new provisional-to-utility patent application of:

APPLICANTS: Salvatore Albani, Dennis A. Carson, Berent J. Prakken and Alberto Martini

FOR: IMMUNOMODULATORY PEPTIDES DERIVED FROM HEAT SHOCK PROTEINS AND USES THEREOF

Enclosed are the following papers, including all those required to receive a filing date under 37 CFR § 1.53(b):

Number of Pages

Specification	49
Claims	09
Abstract	01
Declaration - (Unsigned)	04
Drawing Sheets - (Formal)	14
Sequence Listing	05
Sequence Listing Declaration	01
Verified Statement	01
Disk	01 Disk

SILICON VALLEY SAN DIEGO SAN DIEGO/GOLDEN TRIANGLE SAN FRANCISCO AUSTIN SEATTLE SACRAMENTO LA JOLLA

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Box PATENT APPLICATION  
October 31, 2001  
Page 2

Enclosures:

- Application Data Sheet (3 pages)
- Return Receipt Postcard

The filing fee has been calculated as shown below: Small entity status is claimed.

	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Large Entity		Small Entity	Large Entity
Total Claims	73-20	=	53		\$09	\$18	=	\$477.00	\$ .00
Independent Claims	3-3	=	0		\$42	\$84	=	\$ .00	\$ .00
Multiple Dependent Claims Presented:	Yes <input checked="" type="checkbox"/> No				\$140	\$280		\$ .00	\$ .00
					BASIC FILING FEE		\$370.00	\$ .00	
					TOTAL FEE		\$847.00	\$ .00	

**PLEASE DEFER THE PAYMENT OF THE FILING FEE** until the executed Declaration is filed.

If this application is found to be INCOMPLETE, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 677-1456.

All future correspondence should be addressed to:

Lisa A. Haile, J.D., Ph.D.  
GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1600  
San Diego, CA 92121-2189  
Telephone: (858) 677-1456  
Facsimile: (858) 677-1465

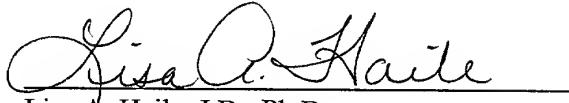
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Box PATENT APPLICATION  
October 31, 2001  
Page 3

A copy of this letter is enclosed. Kindly acknowledge receipt of this application by returning the enclosed postcard.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP



October 31, 2001

Date

Lisa A. Haile, J.D., Ph.D.

Registration No. 38,347

Telephone: (858) 677-1456

Facsimile: (858) 577-1465

Enclosure

LAH/RJI:ag

10001 3587 0007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Albani *et al.* Art Unit: Unknown  
Application No.: To be assigned Examiner: Unknown  
Filed: Herewith  
Title: IMMUNOMODULATORY PEPTIDES DERIVED FROM HEAT SHOCK PROTEINS AND USES THEREOF

Commissioner for Patents  
Washington, D.C. 20231

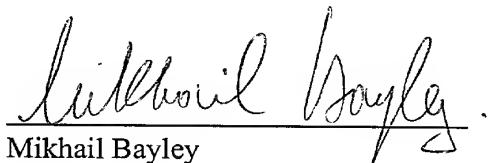
**VERIFIED STATEMENT UNDER 37 C.F.R. § 1.821(f)**

Sir:

I, Mikhail Bayley, declare that I personally prepared the paper and the computer-readable copies of the Sequence Listing filed herewith in the above-entitled case and that the content of both is the same.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of The United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 10/31/2001

  
Mikhail Bayley

GRAY CARY WARE & FREIDENRICH LLP  
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Customer Number: 28213

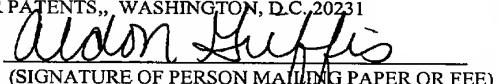
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Aldon Griffis

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Albani *et al.* Art Unit: Unknown  
Application No.: To be assigned Examiner: Unknown  
Filed: Herewith  
Title: IMMUNOMODULATORY PEPTIDES DERIVED FROM HEAT SHOCK PROTEINS AND USES THEREOF

Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT UNDER 37 C.F.R. §§ 1.821(f) and (g);**

Sir:

I hereby state, as required by 37 C.F.R. § 1.821(f), that the information recorded in computer readable form is identical to the written sequence listing.

I hereby state that the submission, filed in accordance with 37 C.F.R. § 1.821 (g), herein does not include new matter.

Respectfully submitted,



Lisa A. Haile, Ph.D.  
Reg. No. 38,347  
Telephone: (858) 677-1456  
Facsimile: (858) 677-1465

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